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## TRANSMITTAL FORM

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<b>Application Number</b>	09/993,192		
	<b>Filing Date</b>	November 14, 2001	
	<b>First Named Inventor</b>	Sangki Rhee, et al.	
	<b>Group Art Unit</b>	1635	
	<b>Examiner Name</b>	L. Nguyen	
<b>Total Number of Pages in This Submission</b>	28	<b>Attorney Docket Number</b>	4220-116.1US

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>X Response to Notice to File Corrected App. Papers and Supp. Preliminary Amendment</b> <b>X Req. Under 37 CFR Sec 1.821(e)</b> <b>X Abstract</b> <b>X Sequence Listing</b> <b>X Postcard</b>
<b>Remarks</b>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Brigh L. Buckwalter for Mathews, Collins, Shepherd & McKay, P.A.
<b>Signature</b>	
<b>Date</b>	February 11, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 11, 2002			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Sangki Rhee, *et al.*

: Group Art Unit: 1635

Appln. No.: 09/993,192

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: Examiner: L. Nguyen

Filed: November 14, 2001

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For: **HANSENULA POLYMORPHA MUTANTS AND PROCESS  
FOR PREPARATION OF RECOMBINANT PROTEINS USING  
THE SAME.**

Assistant Commissioner of Patents  
Washington, D.C. 20231

SIR:

**REQUEST UNDER 37 C.F.R. 1.821(e)**

The paper copy of the Sequence Listing in filed with the Notice To File Corrected Application Papers is identical to the computer readable form of the Sequence Listing filed in application 09/674,615, filed 6/15/01. In accordance with 37 CFR 1.821(e), please use the only computer readable form filed in the parent as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application. A paper copy of the Sequence Listing is included in a separately filed response to the Notice To File Corrected Application Papers.

Respectfully submitted,  
Mathews, Collins, Shepherd & McKay, P.A.

By: Brian L. Buckwalter  
Registration No. 46,585  
Agent for Applicants

February 11, 2002  
100 Thanet Circle, Suite 306  
Princeton, NJ 08540-3662  
Telephone 609-924-8555  
Telecopier 609-924-3036